

# DEPARTMENT OF STATE PUBLIC HEARING

#### NOTICE OF INTENT

## TITLE 31 ELECTIONS

#### PART II. VOTER REGISTRATION AND VOTER EDUCATION

#### CHAPTER 1. REGISTRAR OF VOTERS

**SECTIONS 107, 108, AND 109** 

State Archives Building Auditorium 3851 Essen Lane Baton Rouge, Louisiana

## JANUARY 26, 2015 1:00 PM

- I. Introduction
- II. Discussion of legislation and the legislative requirement for the adoption of rules and regulations
- III. Presentation of Notice of Intent
- IV. Presentation of the Fiscal and Economic Impact Statement for Administrative Rules
- V. Receive oral comments from interested parties
- VI. Acknowledge the receipt of comments received as of January 26, 2015
- VII. Announcement of the deadline for written comments (4:30 p.m. January 27, 2015)
- VIII. Open discussion
- IX. Timetable for the adoption of the rule
- X. Closing Comments

#### NOTICE OF INTENT

## Department of State Elections Division

### Merit Evaluation for Registrars of Voters

(LAC 31:II.Chapter 1 Sections 107-109)

Pursuant to the provisions of the Administrative Procedure Act (R.S. 49:950 et seq.), and under the authority of R.S. 18:18, R.S. 18:55, R.S. 18:59, and R.S. 36:742, the secretary of state is proposing to adopt this Rule which would amend LAC 31:II.Chapter 1 Section 107 to modify the procedure for merit evaluations of the registrars of voters, adopt LAC 31:II.Chapter 1 Section 108 to codify the appeal process for merit evaluations of the registrars of voters, and amend LAC 31:II.Chapter 1 Section 109 to modify the procedure for merit evaluations of the chief deputies and confidential assistants.

#### Title 31

#### **ELECTIONS**

## Part II. Voter Registration and Voter Education

## Chapter 1. Registrar of Voters

### §107. Merit Evaluation for the Registrar of Voters

A. The secretary of state hereby designates the director of registration in the Department of State to conduct the annual evaluation of each parish registrar of voters by reviewing the completed evaluation and data submitted by each registrar of voters. The evaluation will consider the timely performance of the registrar's job responsibilities as required by Title 18 of the Louisiana Revised Statutes. Upon completion of the rating of a registrar by the director of registration, the director of registration shall submit the evaluation to the commissioner of elections for review and either approval or disapproval depending on the information submitted. If the commissioner of elections does not approve the rating given by the director of registration, the registrar will be given the rating recommended by the commissioner of elections. The registrar's evaluation is then submitted to the Department of State Human Resources Office. If the registrar receives an "excellent" rating, Human Resources will process the merit increase. If the registrar receives a "satisfactory" rating, Human Resources will not process the merit increase.

B. Annually, the criteria and procedure for the merit evaluation shall be determined by the secretary of state or his designee in conjunction with the Registrar of Voters Association. The secretary of state or his designee shall prepare written instructions and forms to be utilized for the evaluation. Evaluation forms with instructions shall be submitted to the registrars of voters no later than November 1 for completion. The form shall include mandated duties required of the registrar's office in accordance with Title 18 of the Louisiana Revised Statutes and other applicable laws with input from the Board of Review for Evaluation of the Registrar of Voters

Association; however, the form is not intended to be all inclusive of all of the duties mandated in Title 18 of the Louisiana Revised Statutes and other applicable laws. If a registrar receives an "excellent" rating, the registrar is eligible for a merit increase in January. If a registrar does not receive an "excellent" rating, the registrar will be rated "satisfactory" and is not eligible to receive a January merit increase. Also, if a registrar is a Certified Elections Registration Administrator (CERA) and does not receive an "excellent" rating, the registrar is not eligible to receive the seven percent CERA certification pay increase for that year pursuant to R.S. 18:59.4.

C. The parish registrar of voters will have until December 15 to submit a completed evaluation form with supporting documentation to the Department of State.

AUTHORITY NOTE: Promulgated in accordance with R.S. 18:18, R.S. 18:55, and R.S. 36:742.

HISTORICAL NOTE: Promulgated by the Department of State, Elections Division, LR 34:705 (April 2008); amended LR 41:

## §108. Appeal of Merit Evaluation for the Registrar of Voters

A. Submission of a Request for Appeal

- 1. A registrar of voters who does not receive an "excellent" rating on his or her annual merit evaluation may appeal that rating to the Registrars of Voters Evaluation Appeals Committee.
- 2. The request for appeal shall be in writing and shall be postmarked or received by the human resources director in the Department of State, or the human resources director's designee, no later than January 30.
- 3. The request for appeal shall explain the reasons for the request and may provide supporting documentation.
- 4. If the request for appeal is timely and contains the required explanation, the human resources director shall submit a notification of the request to the chairperson of the Registrars of Voters Evaluation Appeals Committee and to the director of registration. The notification of request for appeal shall include copies of the written request of the registrar of voters, the original annual merit evaluation, and any supporting documentation provided by the registrar of voters with his or her written request for appeal.
- 5. The Department of State grievance process shall not be used to review or reconsider evaluations or a procedural violation of the evaluation process.
  - B. The Registrars of Voters Evaluation Appeals Committee
- 1. All written requests for appeal of annual merit evaluations that meet the requirements of Part A of this Section shall be considered by the Registrars of Voters Evaluation Appeals Committee.
- 2. The Registrars of Voters Evaluation Appeals Committee shall consist of seven members. Three members shall be registrars of voters appointed by the Registrar of Voters Association. Four members shall be appointed by the secretary of state, one of which shall be a registrar of voters who shall act as chairperson of the committee. The chairperson shall vote only to break a tie. The director of registration and the commissioner of elections shall not be appointed to the committee.
- 3. The chairperson shall convene a meeting of the Registrars of Voters Evaluation Appeals Committee within 15 days of receipt of notification of the request for appeal to discuss the request and render a decision regarding the rating. The committee may vote to uphold the "satisfactory" rating or to change the rating to "excellent".

- 4. The chairperson of the committee shall give written notice of the committee's decision to the affected registrar of voters, the director of registration, and the human resources director within 15 days.
- C. The annual merit evaluation form, the written request for appeal of the registrar of voters, the written notice of the committee's decision, and all supporting documentation shall be maintained in the official confidential personnel file of the registrar of voters on file in the Department of State Human Resources Office.

AUTHORITY NOTE: Promulgated in accordance with R.S. 18:18, R.S. 18:55, and R.S. 36:742.

HISTORICAL NOTE: Promulgated by the Department of State, Elections Division, LR 41:

## §109. Merit Evaluations of the Chief Deputy and Confidential Assistant

- A. The parish registrar of voters shall perform the annual evaluation of the chief deputy and confidential assistant.
- B. Annually, the criteria and procedure for the merit evaluation shall be determined by the Registrar of Voters Association. The association shall prepare written instructions and forms to be utilized for the evaluation. The forms and instructions shall be submitted to the registrars of voters for reviewing the chief deputy and confidential assistant's performance no later than November 1.
- C. The parish registrar of voters shall be responsible for evaluating his or her chief deputy and confidential assistant. These evaluations shall be submitted to the Department of State Human Resources Office no later than December 15 of each year.

AUTHORITY NOTE: Promulgated in accordance with R.S. 18:18, R.S. 18:59, and R.S. 36:742.

HISTORICAL NOTE: Promulgated by the Department of State, Elections Division, LR 34:705 (April 2008); amended LR 41:

## Family Impact Statement

The proposed amendments to various sections of Rule LAC 31:II.Chapter 1 Sections 107-109 regarding merit evaluations for registrars of voters, chief deputies, and confidential assistants should not have any known or foreseeable impact on any family as defined by R.S. 49:927 or on family formation, stability and autonomy. Specifically, there should be no known or foreseeable effect on:

- 1. the stability of the family;
- 2. the authority and rights of parents regarding the education and supervision of their children:
  - 3. the functioning of the family;
  - 4. family earnings and family budget:
  - 5. the behavior and personal responsibility of children; and
- 6. the ability of the family or a local government to perform the function as contained in the proposed amendments to the Rule.

### **Poverty Impact Statement**

The proposed amendments to various sections of Rule LAC 31:II.Chapter 1 Sections 107-109 regarding merit evaluations for registrars of voters, chief deputies, and confidential assistants should not have any known or foreseeable impact on poverty as defined by R.S. 49:973. Specifically, there should be no known or foreseeable effect on:

- 1. the household income, assets and financial security;
- 2. early childhood development and preschool through postsecondary education development;
  - 3. employment and workforce development;
  - 4. taxes and tax credits; and
- 5. child and dependent care, housing, health care, nutrition, transportation, and utilities assistance.

#### **Small Business Statement**

The impact of the proposed amendments to various sections of the Rule on small business has been considered and it is estimated that the proposed action is not expected to have a significant adverse impact on small business as defined in the Regulatory Flexibility Act. The agency, consistent with health, safety, environmental and economic welfare factors has considered and, where possible, utilized regulatory methods in the drafting of the proposed Rule that will accomplish the objectives of applicable statutes while minimizing the adverse impact of the proposed Rule on Small Business.

## **Provider Impact Statement**

The impact of the proposed amendments to various sections of the Rule does not have any known or unforeseeable impact on providers as defined by HCR 170 of the 2014 Regular Legislative Session. In particular, there should be no known or foreseeable effect on:

- 1. the effect on the staffing level requirements or qualifications required to provide the same level of service;
- 2. the total direct and indirect effect on the cost to the providers to provide the same level of service; or
  - 3. the overall effect on the ability of the provider to provide the same level of service.

#### **Public Comments**

Interested persons may submit written comments to Merietta Norton, General Counsel, Legal Division, Department of State, P.O. Box 94125, Baton Rouge, LA 70804-9125. She will be responsible for responding to inquiries regarding the proposed amendments to various sections of the Rule. The deadline for the Department of State to receive written comments is 4:30 p.m. on Tuesday, January 27, 2015 after the public hearing.

### **Public Hearing**

A public hearing on the proposed amendments to various sections of the Rule is scheduled for Monday, January 26, 2015 at 1:00 p.m. in the Auditorium at the State Archives

Building, 3851 Essen Lane, Baton Rouge, LA. At that time, all interested persons will be afforded the opportunity to submit data, views, or arguments either orally or in writing.

Tom Schedler

Secretary of State

## FISCAL AND ECONOMIC IMPACT STATEMENT FOR ADMINISTRATIVE RULES

Person							
Preparing Statement:	Carol H. Guidry	Dept.:	Department of State				
Phone:	_225,362.5142	Office:	Elections Division				
Return Address:	P.O. Box 94125	Rule Title:	Merit Evaluation for Registrars of Voters				
	Baton Rouge, LA 70804-9125						
		Date Rul Takes Ef	e ect: <u>March 20, 2015</u>				
	S	UMMARY					
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fiscal and econo FOLLOWING S BE PUBLISHED	omic impact statement on the r TATEMENTS SUMMARIZE AT IN THE LOUISIANA REGISTE	rule proposed PTACHED WC R WITH THE I	· <del></del>				
I. ESTIMATEI UNITS (Sun		(SAVINGS) TO	O STATE OR LOCAL GOVERNMENTAL				
result of the to clarify th and to amer confidential	There are no estimated implementation costs or savings to state or local governmental units as a result of the proposed rule change. The Department of State is proposing to amend LAC 31:II § 107 to clarify the procedure and to modify the language for merit evaluations of the registrars of voters and to amend LAC 31:II § 109 to modify the procedure for merit evaluations of the chief deputies and confidential assistants. In addition, the Department of State is adopting LAC 31:II § 108 to codify the appeal process for merit evaluations of the registrars of voters.						
	ESTIMATED EFFECT ON REVENUE COLLECTIONS OF STATE OR LOCAL GOVERNMENTAL UNITS (Summary)						
There is no the propose	estimated effect on revenue coll d rule change.	ections of state	or local governmental units as a result of				
III. ESTIMATEI NON-GOVI	O COSTS AND/OR ECONOMI ERNMENTAL GROUPS (Summa	C BENEFITS 1 ory)	O DIRECTLY AFFECTED PERSONS OR				
There are government	There are no estimated costs and/or economic benefits to directly affected persons or non-governmental groups as a result of the proposed rule change.						
IV. ESTIMATED EFFECT ON COMPETITION AND EMPLOYMENT (Summary)							
The proposed rule change will have no effect on competition and employment.							
Zet =	Falls		Evan Brassey, Staff Director				
	ency Head or Designee dersecretary of M & F		Legislative Fiscal Officer or Designed				

Typed Name & Title of Agency Head or Designee
11/17/2014

Date of Signature

Date of Signature

The following information is required in order to assist the Legislative Fiscal Office in its review of the fiscal and economic impact statement and to assist the appropriate legislative oversight subcommittee in its deliberation on the proposed rule.

A. Provide a brief summary of the content of the rule (if proposed for adoption, or repeal) or a brief summary of the change in the rule (if proposed for amendment). Attach a copy of the notice of intent and a copy of the rule proposed for initial adoption or repeal (or, in the case of a rule change, copies of both the current and proposed rules with amended portions indicated).

The Department of State is proposing to amend LAC 31:II Chapter 1 Section 107 to modify the procedure for merit evaluations of the registrars of voters, adopt LAC 31:II.Chapter 1 Section 108 to codify the appeal process for merit evaluations of the registrars of voters, and amend LAC 31:II.Chapter 1 Section 109 to modify the procedure for merit evaluations of the chief deputies and confidential assistants.

В.	Summarize the circumstances, which require this action. If the Action is required by federa	al
	regulation, attach a copy of the applicable regulation.	

See A above.

- C. Compliance with Act 11 of the 1986 First Extraordinary Session
  - Will the proposed rule change result in any increase in the expenditure of funds? If so, specify amount and source of funding.

The proposed rule change will have no impact on the expenditure of funds for the Department of State.

- (2) If the answer to (1) above is yes, has the Legislature specifically appropriated the funds necessary for the associated expenditure increase?
  - (a) \_\_\_\_\_ Yes. If yes, attach documentation.
  - NO. If no, provide justification as to why this rule change should be published at this time

#### FISCAL AND ECONOMIC IMPACT STATEMENT

#### WORKSHEET

#### I. A. COSTS OR SAVINGS TO STATE AGENCIES RESULTING FROM THE ACTION PROPOSED

1. What is the anticipated increase (decrease) in costs to implement the proposed action?

COSTS	FY 15	FY 16	FY 17
Personal Services			
Operating Expenses			
Professional Services			
Other Charges			
Equipment			
Major Repairs & Constr.			
TOTAL	0	0	0
POSITIONS (#)			

Provide a narrative explanation of the costs or savings shown in "A. 1.", including the
increase or reduction in workload or additional paperwork (number of new forms, additional
documentation, etc.). anticipated as a result of the implementation of the proposed action.
Describe all data, assumptions, and methods used in calculating these costs.

There is no effect on costs to implement the proposed action.

3. Sources of funding for implementing the proposed rule or rule change,

SOURCE	FY 15	FY 16	FY 16
State General Fund			
Agency Self-Generated			
Dedicated			
Federal Funds			
Other (Specify)			
TOTAL	0	0	0

4. Does your agency currently have sufficient funds to implement the proposed action? If not, how and when do you anticipate obtaining such funds?

N/A

## B. COST OR SAVINGS TO LOCAL GOVERNMENTAL UNITS RESULTING FROM THE ACTION PROPOSED.

 Provide an estimate of the anticipated impact of the proposed action on local governmental units, including adjustments in workload and paperwork requirements. Describe all data, assumptions and methods used in calculating this impact.

There is no estimated effect on revenue collections of local governmental units.

Indicate the sources of funding of the local governmental unit, which will be affected by these costs or savings.

# FISCAL AND ECONOMIC IMPACT STATEMENT WORKSHEET

## II. EFFECT ON REVENUE COLLECTIONS OF STATE AND LOCAL GOVERNMENTAL UNITS

A. What increase (decrease) in revenues can be anticipated from the proposed action?

REVENUE INCREASE/DECREASE	FY 15	FY 16	FY 17
State General Fund			
Agency Self-Generated			
Dedicated Funds*			
Federal Funds			
Local Funds			
TOTAL	0	0	0

<sup>\*</sup>Specify the particular fund being impacted.

B. Provide a narrative explanation of each increase or decrease in revenues shown in "A." Describe all data, assumptions, and methods used in calculating these increases or decreases.

N/A

## FISCAL AND ECONOMIC IMPACT STATEMENT

#### WORKSHEET

## III. COSTS AND/OR ECONOMIC BENEFITS TO DIRECTLY AFFECTED PERSONS OR NONGOVERNMENTAL GROUPS

A. What persons or non-governmental groups would be directly affected by the proposed action? For each, provide an estimate and a narrative description of any effect on costs, including workload adjustments and additional paperwork (number of new forms, additional documentation, etc.), they may have to incur as a result of the proposed action.

The proposed rule change will have no effect on costs and/or economic benefits to directly affected persons or non-governmental groups.

B. Also provide an estimate and a narrative description of any impact on receipts and/or income resulting from this rule or rule change to these groups.

See A above.

## IV. EFFECTS ON COMPETITION AND EMPLOYMENT

Identify and provide estimates of the impact of the proposed action on competition and employment in the public and private sectors. Include a summary of any data, assumptions and methods used in making these estimates.

There will be no impact of the proposed policy on competition and employment.