

LOUISIANA DEPARTMENT OF STATE

04-139

STRATEGIC PLAN

FISCAL YEARS 2024-2028



**SECRETARY OF STATE
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Office of the Secretary of State/Department of State

Strategic Plan

FY 2024 - FY 2028

Department Vision

The Secretary of State's office will be a role model for government in our openness and accessibility to our data and remain responsive to our customer's needs and the citizens of Louisiana.

Department Philosophy

We can achieve excellence in public service when we draw upon the talents, leadership and resources of our employees, always mindful that every effort must continue to earn and maintain the public's trust and confidence.

Department Mission

The mission of the Secretary of State's office is to serve the public by meeting its legal responsibilities of collecting, securing and communicating information that enhances commerce, ensures the integrity of Louisiana's elections and preserves, presents and makes accessible government information essential to Louisiana's operations and its recorded history.

Goals

- A.** To provide the department with the leadership and management necessary to meet its Program's objectives while performing the constitutional and statutory responsibilities of the Secretary of State and the Department of State.
- B.** To maintain an efficient and accurate election system and provide essential, comprehensive information that instills public confidence in the state's electoral process.
- C.** To provide the services, information and facilities necessary to promote and support the state's governmental records management programs and identify, preserve and make available governmental records and other archival materials of interest to the citizens of Louisiana.
- D.** To provide an effective presentation of history through the provision of a comprehensive system of thematic museums that enhances the public's understanding of the various influences and aspects of Louisiana's culture that have impacted its development.
- E.** To ensure compliance with the commercial filing laws at the lowest possible cost to taxpayers by maximizing the efficiency of document processing and information services.

Human Resource Policies Beneficial to Women and Families

The Department of State (DOS) has established and maintained policies beneficial to women and families. Full time employees may request a supervisor-approved schedule that may begin as early as 7:00 a.m. or end as late as 5:30 p.m. DOS may also permit deviations to the traditional 5 day, 40 hour work week. Approval to deviations is contingent upon supervisor recommendation and executive approval. The department also supports Family and Medical Leave.

External Factors Affecting Performance

The department strives to meet its established goals by being creative and flexible in the development and revision of objectives and performance indicators during the planning process. The department has been proactive in the development of software programs and applications to help us serve our customers in a way that is more efficient and convenient. Some external factors that may influence our ability to meet performance objectives are:

- Legislative mandates.
- Unscheduled elections.
- Legislative funding at less than desired levels.
- Cost of keeping pace with rapidly changing information technology and experienced personnel.

Avoidance of Duplication of Effort

The five programs within the department have completely unique missions and mandates. There is no overlap of responsibility or function.

Maintenance of Agency Records

Records are maintained in accordance with an approved Records Retention Schedule. Division directors, or their designated representatives, maintain performance data in their areas in either reports or database. The central point for collection of this data for performance reporting resides in the Accounting/Purchasing Division. Performance files include current and previous strategic plans, current and previous operational plans, and input worksheets for Louisiana Performance Accountability System (LaPAS) quarterly reporting.

Strategic Planning Process

Objectives in this strategic plan were initially developed with oversight from the Office of Planning and Budget (OPB). Copies of the current plan and supporting document, current guidance from OPB, and instructions were provided to division directors and to supervisors in the department who assist in planning. Division directors were asked to review current Objectives and Performance Indicators for relevance and were offered assistance in developing new performance indicators where required. Since there had been no outside requests for

changes and only minor changes were forthcoming from division directors, OPB and legislative staff were not included in the planning process.

Strategic Planning Checklist

STRATEGIC PLANNING CHECKLIST	
<input checked="" type="checkbox"/>	Planning Process <input checked="" type="checkbox"/> General description of process implementation included in plan process documentation <input type="checkbox"/> Consultant used If so, identify: _____ <input checked="" type="checkbox"/> Department/agency explanation of how duplication of program operations will be avoided included in plan process documentation <input checked="" type="checkbox"/> Incorporated statewide strategic initiatives <input type="checkbox"/> Incorporated organization internal workforce plans and information technology plans
<input checked="" type="checkbox"/>	Analysis Tools Used <input type="checkbox"/> SWOT analysis <input checked="" type="checkbox"/> Cost/benefit analysis <input checked="" type="checkbox"/> Financial audit(s) <input type="checkbox"/> Performance audit(s) <input checked="" type="checkbox"/> Program evaluation(s) <input checked="" type="checkbox"/> Benchmarking for best management practices <input checked="" type="checkbox"/> Benchmarking for best measurement practices <input type="checkbox"/> Stakeholder or customer surveys <input checked="" type="checkbox"/> Undersecretary management report (Act 160 Report) used <input type="checkbox"/> Other analysis or evaluation tools used If so, identify: _____ Attach analysis projects, reports, studies, evaluations, and other analysis tools.
<input checked="" type="checkbox"/>	Stakeholders (Customers, Compilers, Expectation Groups, Others) Identified <input type="checkbox"/> Involved in planning process <input checked="" type="checkbox"/> Discussion of stakeholders included in plan process documentation
<input checked="" type="checkbox"/>	Authorization for goals <input checked="" type="checkbox"/> Authorization exists <input type="checkbox"/> Authorization needed <input checked="" type="checkbox"/> Authorization included in plan process documentation
<input checked="" type="checkbox"/>	External Operating Environment <input checked="" type="checkbox"/> Factors identified and assessed <input checked="" type="checkbox"/> Description of how external factors may affect plan included in plan process documentation
<input checked="" type="checkbox"/>	Formulation of Objectives <input checked="" type="checkbox"/> Variables (target group; program & policy variables; and external variables) assessed <input checked="" type="checkbox"/> Objectives are SMART
<input checked="" type="checkbox"/>	Building Strategies <input checked="" type="checkbox"/> Organizational capacity analyzed <input checked="" type="checkbox"/> Needed organizational structural or procedural changes identified <input checked="" type="checkbox"/> Resource needs identified <input checked="" type="checkbox"/> Strategies developed to implement needed changes or address resource needs <input checked="" type="checkbox"/> Action plans developed; timelines confirmed; and responsibilities assigned
<input checked="" type="checkbox"/>	Building in Accountability <input checked="" type="checkbox"/> Balanced sets of performance indicators developed for each objective <input checked="" type="checkbox"/> Documentation Sheets completed for each performance indicator <input checked="" type="checkbox"/> Internal accountability process or system implemented to measure progress <input checked="" type="checkbox"/> Data preservation and maintenance plan developed and implemented
<input checked="" type="checkbox"/>	Fiscal Impact of Plan <input checked="" type="checkbox"/> Impact on operating budget <input type="checkbox"/> Impact on capital outlay budget <input type="checkbox"/> Means of finance identified for budget change <input checked="" type="checkbox"/> Return on investment determined to be favorable

Program A- Administrative (139_1000)

Statutory Authority

Louisiana Constitution Art. IV, §1, §7, §13 and §14; Louisiana Constitution Art. XIII, §1; La. R.S. 11:1162; La. R.S. 18:23-25; La. R.S. 23:1371-1372; La. R.S. 36:741-744; La. R.S. 39:1401; La. R.S. 49:151, 206 and 968; La. R.S. 51:1256; La. R.S. 9:2446-2447; La. R.S. 35:71, 192, 201, 391 and 395; La. R.S. 42:162 and 1162; La. R.S. 24:173-174, 205, 207-208, and 254; La. R.S. 25:125 and 126; and La. R.S. 43:19, 24, 150, and 174.

Program Mission

The mission of the Administrative Program is two-fold: (1) To assist the Secretary of State in carrying out the duties of his office by providing the legal, financial and management control services for the department and its various programs; and (2) as Keeper of the Great Seal, attest to the Governor's signatures on Executive Orders and pardons, issue commissions for elected and appointed officials in the state. Record and maintain information relative to individual wills, and produce various publications as required by Louisiana law.

Program Objectives

Objective 1

Through its support services activities, the program will work to ensure that the agency continues to meet at least 80% of program objectives.

Strategies

- 1.1 Provide assistance to the programs with financial support services including budgeting, payroll, accounts receivable, and accounts payable.
- 1.2 Provide a comprehensive human resources management program for the department.
- 1.3 Improve management of the Department of State resources by securing goods and services in the most effective, efficient and economical manner.
- 1.4 Provide the technical tools and expertise for data collection, information management and decision support to aid the department in fulfilling its mission.
- 1.5 Continue to update and create policies to form a strong organizational structure and assist in the fulfillment of the department's mission and goals.
- 1.6 Coordinate the training needs for the department.

1.7 Provide legal assistance and guidance to department programs and represent the department in all legal matters within the scope of the department's control.

1.8 Review new and proposed legislation and provide timely and accurate information to the legislature to ensure the effective and efficient operation of department programs.

Indicators

1.1 Outcome: Percentage of objectives met (Key)

Objective 2

To ensure compliance with accounting practices and policies, the program will have no repeat financial audit findings.

Strategies:

2.1 Review past financial audits for problematic areas.

2.2 Review processes to ensure best accounting practices are used.

Indicators:

2.1 Quality: Number of repeat financial audit findings (Key)

Objective 3

To ensure the timely payment of Election Day workers, the program will pay 90% of Election Day workers within 30 days of receiving a valid payroll from the parish clerks of court.

Strategies:

3.1 Audit payroll data entered by the clerks of court to insure conformity and accuracy.

3.2 Continue to educate the clerks of court on how to process Election Day payrolls correctly.

3.3 Review payroll process to identify possible alternatives that could reduce processing time needed.

Indicators:

3.1 Efficiency: Percentage of parish election payrolls completed within 30 days of receiving a valid payroll from the parish clerks of court (Key)

3.2 Efficiency: Percentage of clerks of court returning completed payroll information program within one week of election date (Supporting)

3.3 Input: Number of parishes with election (Trend)

3.4 Output: Number of parish payrolls processed (Trend)

3.5 Outcome: Average cost of commissioners, janitors and deputy custodians paid per precinct (Trend)

3.6 Efficiency: Average number of days for clerks of court to transmit completed election payrolls (Trend)

Objective 4

To provide for the timely recovery of election expenses from local government authorities, the program will invoice 90% of local governing entity share of election expenses within 60 days of an election.

Strategies:

4.1 Work with the clerks of court and parish boards of elections supervisors, draymen, and registrars of voters to ensure timely submission of election related expenses.

4.2 Review election billing process to identify possible alternatives and procedures that could reduce processing time.

Indicators:

4.1 Efficiency: Percentage of local election expenses invoiced within 60 days of election (Key)

4.2 Output: Percentage of election cost reimbursement invoiced (Supporting)

4.3 Outcome: Amount of outstanding invoices (over 120 days) turned over to Attorney General's Office (Trend)

4.4 Output: Amount of election costs invoiced (Trend)

4.5 Input: Amount of election cost payments received (Trend)

4.6 Quality: Percentage of current year election invoices collected prior to close of fiscal year (Trend)

Objective 5

To prepare and mail 95% of commission certificates, oath of office forms, and laminated identification cards to all elected officials no later than two weeks prior to officials taking office.

Strategies:

5.1 Monitor election status to determine commission package requirements.

5.2 Review commission document preparation process to identify possible alternatives and procedures that could reduce processing time.

Indicators:

5.1 Efficiency: Percentage of commission documents mailed to elected officials two weeks prior to official taking office (Key)

5.2 Output: Number of commission packages prepared and mailed to elected officials (Trend)

5.3 Outcome: Number of commission packages prepared and mailed two weeks prior to official taking office (Trend)

Objective 6

To employ proactive maintenance and improvement of the network and all associated hardware and software necessary to support, defend, and provide continuity for these critical business functions. Unplanned downtime of three business days or more will not exceed two events.

Strategies:

6.1 Employ proactive maintenance of the network and associated hardware and software.

Indicators:

6.1 Outcome: Number of mission critical equipment or application failures with greater than three business days of unplanned downtime (Key)

6.2 Input: Number of mission critical pieces of equipment (Supporting)

6.3 Input: Number of mission critical applications (Supporting)

Program B-Elections (139_2000)

Statutory Authority

Louisiana Constitution, Article IV, Section 12; La. R.S. 18:18-20; La. R.S. 18:31; La. R.S. 18:421-436; La. R.S. 18:1301-1319; La. R.S. 13:1351-1376; La. R.S. 18:1400.1-1400.8; Pub. L. 89-110, Title I, §2, Aug. 6, 1965, 79 Stat. 437, as amended, (Voting Rights Act of 1965), 42 U.S.C. §§1973-1973aa-6; Pub L. 98-435, §2, Sept. 28, 1984, 98 Stat. 1678, (Voting Accessibility for the Elderly and Handicapped Act), 42 U.S.C. §1973ee; LAC 31:I. Chapter 1, Polling Place Accessibility for the Elderly and Individuals with Disabilities; LAC 31:I. Chapter 3, Election Poll Worker; LAC 31:I. Chapter 5, Election Night Transmission of Results; LAC 31:I. Chapter 7, Election Expense Reimbursement; LAC 31:I. Chapter 9, Recognition of Political Parties; LAC 31:II. Chapter 1, Registrars of Voters; LAC 31:II. Chapter 3, Driver's License Facilities; LAC 31:II. Chapter 5, Optional Voter Registration; LAC 31:II. Chapter 7, Standards for Effective Non-partisan Voter Registration and Voter Education; LAC 31:III. Chapter 1, Voting Systems Drayage and Storage; LAC 31:III. Chapter 3, Procurement and Certification of Voting Equipment; and, LAC 4:XIX. Chapter 1, Address Confidentiality Program.

Program Mission

The mission of the Elections Program is to insure the integrity of the electoral and election management process in Louisiana for its voters, citizens and for other interested parties in Louisiana and the United States, in general and to encourage public participation in the election process by educating voters and potential voters about the elections process through effective outreach programs.

Program Objectives

Objective 1

The Elections Program will produce efficient and accurate elections by averaging no more than three machine and absentee ballot reprints per election due to program staff errors.

Strategies:

1.1 Ensuring that staff members remain trained on ballot preparation, layout requirements for the voting machines used, and preparing assembled copy for machine ballots.

1.2 Requiring all ballot copy to be proofed three times by program staff.

1.3 Ensure that machine ballot overlays are proofed by programmers in both the balloting section and the Elections Operations Section.

Indicators:

1.1 Quality: Number of reprints due to program error (Key)

1.2 Input: Number of elections held (Supporting)

1.3 Efficiency: Average number of ballot reprints due to program error (Key)

Objective 2

To encourage participation in the electoral process, the program will sponsor or participate in voter education outreach events in 90% of the parishes each year.

Strategies:

2.1 Develop and disseminate educational programs and materials designed to help educate the public about elections and the voting process.

2.2 Develop and maintain cooperative relationships with educational institutions, allied organizations involved in the elections process (including political parties), and civic associations interested in the electoral process.

2.3 Coordinate the department's provision of voting machines to educational institutions and to community and private organizations for use in student and organization elections.

2.4 Sponsor or co-sponsor outreach events aimed at educating voters and potential voters through activities such as mock student elections and voting machine demonstrations.

Indicators:

2.1 Quality: Percentage of parishes having a voter education outreach event in the current fiscal year (Key)

2.2 Output: Number of events held or sponsored (Supporting)

Objective 3

To ensure integrity of the election process, the program will investigate 100% of alleged incidences of voter fraud or election offenses.

Strategies:

3.1 Maintain convenient avenues by which citizens can report voter fraud and election offenses.

3.2 Maintain and review the program's investigation process to remain responsive to the needs of the public, the law enforcement community and the program.

3.3 Maintain and build upon the relationships developed with local, state and federal law enforcement and prosecutorial agencies in the state and coordination of referral of complaints reported to and investigated by the program.

3.4 Maintain, categorize and track the outcome of all incidences reported.

Indicators:

3.1 Efficiency: Percentage of voter fraud and election offenses investigated by program (Key)

3.2 Input: Number of incidents reported (Trend)

3.3 Input: Number of nonelection related incidents reported (Trend)

3.4 Input: Number of election related incidents reported (Trend)

3.5 Input: Number of election related incidents regarding fraud (Trend)

3.6 Input: Number of election related incidents pertaining to campaign practices (Trend)

3.7 Input: Number of election related incidents related to election officials/workers (Trend)

3.8 Input: Number of nonelection related incidents resolved (Trend)

3.9 Input: Number of election related incidents resolved (Trend)

4.0 Input: Number of incidents referred to prosecutorial authorities (Trend)

Objective 4

To ensure the state's compliance with the National Voter Registration Act, (NVRA) the program will evaluate each registrar annually and train state voter registration agencies annually.

Strategies:

4.1 Educate and train state voter registration agencies about their role and responsibilities related to the (NVRA) and the voter registration process in Louisiana.

4.2 Review and develop additional training materials as needed to help keep voter registration agencies informed about changes in the voter registration process and the implementation of the NVRA.

4.3 Review and evaluate registrars of voter reports received by the program for compliance.

4.4 Submit all reports and surveys required to federal authorities.

4.5 Develop, keep updated and have pre-cleared all forms involved in the voter registration process.

Indicators:

4.1 Quality: Percentage of registrars evaluated annually (Key).

4.2 Quality: Percentage of voter registration agencies trained annually (Key)

Objective 5

The program will continue to work at improving and maintaining the databases' accuracy, as required and allowed by law by conducting a statewide canvass each year.

Strategies:

5.1 Receive and process information on deaths received from Vital Records Registry, Social Security Administration and/or Electronic Registration Information Center (ERIC) or ERIC equivalent services and disseminate such information to parish registrars of voters.

5.2 Investigate and implement canvass techniques and ERIC or ERIC equivalent services reports that will improve voters address identifications and verifications.

5.3 Receive and process information on felony convictions from the Department of Public Safety and Corrections and disseminate such information to parish registrars of voters to resolve possible matches of registered voters.

5.4 Review current laws and proposed legislation with regard to voter registration and eligibility to vote and provide information necessary to improve the efficiency of the program and its operation to the state board of election supervisors.

5.5 Monitor duplicate registrations and disseminate such information to parish registrars of voters to take appropriate action.

5.6 Oversee the state's annual canvass of voters in accordance with existing laws and procedures and ensure that all parish registrars of voters have completed the canvass in their parish.

Indicators:

5.1 Output: Statewide canvass conducted (Key)

5.2 Outcome: Total number of registered voters verified by canvass (remaining as active voters) (Trend)

- 5.3 Input: Total number of registered voters not verified by canvass (inactive voters) (Trend)
- 5.4 Input: Number of inactive registered voters cancelled after two federal general elections (Dec. every even year) (Trend)
- 5.5 Input: Total number of new voter registrations (Trend)
- 5.6 Input: Number of new voter registrations from all other sources (Trend)
- 5.7 Input: State LA-Voter Registration Application Form (LA-VRA) (Trend)
- 5.8 Input: Online registration (Trend)
- 5.9 Input: Number of new voter registrations from NVRA sources (Trend)
- 5.10 Input: Federal Postcard (Trend)
- 5.11 Input: Public Assistance including Social Services (Trend)
- 5.12 Input: Mail Form (Trend)
- 5.13 Input: Optional registration site (Trend)
- 5.14 Input: Disability office (Trend)
- 5.15 Input: Military Form (Trend)
- 5.16 Outcome: Percentage of new voter registration applications received from all other sources (Trend)
- 5.17 Outcome: Percentage of new voter registration applications received from NVRA sources (Trend)

Objective 6

The program will provide the necessary technical assistance to hold in a state of readiness 90% of voting machines and computerized absentee ballot counting equipment needed to hold all elections in the State of Louisiana.

Strategies:

- 6.1 Maintain a high level of accuracy in the programming of voting machines and absentee ballot counting equipment.
- 6.2 Supervise the delivery of voting machines to and from polling places.

6.3 Supervise the delivery of and provide technical support of the absentee ballot counting equipment to parish registrars of voters' offices.

6.4 Provide Election Day technical support to the chief election official of each parish where elections are held.

6.5 Maintain a high degree of awareness to potential changes in the election process through membership in various professional organizations.

Indicators:

6.1 Input: Total number of voting machines (all types) (Key)

6.2 Efficiency: Percentage of voting machines available on Election Day (Key)

6.3 Input: Number of statewide elections (Supporting)

6.4 Input: Number of precincts in the state (Highest Number in Year) (Trend)

6.5 Output: Total number of precincts holding elections (Trend)

6.6 Output: Number of voting machines used at the precincts on Election Day (total for FY) (Trend)

6.7 Efficiency: Average number of voting machines utilized per precinct (Trend)

6.8 Efficiency: Average annual cost per machine to store machines statewide (Trend)

6.9 Efficiency: Average cost per machine to deliver voting machine to precinct (Trend)

Objective 7

The program will provide preventative, necessary and emergency maintenance as required on all electronic voting machines. To ensure the proper maintenance is administered, the program will certify at least 90% of full-time technicians on the machines they service within 12 months of assignment.

Strategies:

7.1 Perform preventative maintenance on all voting machines and absentee ballot counting equipment on a semi-annual basis.

7.2 Provide and have ready a sufficient number of technicians trained in the repair of voting machines.

7.3 Provide local election officials with technical assistance at courses of instruction for poll workers on the appropriate operation of voting equipment.

7.4 Utilize check-off procedures to ensure proper programming of machines and absentee ballot counting equipment.

Indicators:

7.1 Quality: Percentage of technicians certified within 12 months of assignment (Key)

7.2 Input: Number of technicians (Supporting)

7.3 Quality: Number of certified technicians (Supporting)

7.4 Output: Number of voting machines receiving required semi-annual preventative maintenance (Trend)

7.5 Outcome: Number of service calls received on Election Day (Total for FY) (Trend)

7.6 Outcome: Number of service calls received on Election Day that require a technician (Total for FY) (Trend)

7.7 Quality: Number of voting machines replaced on Election Day (Total for FY) (Trend)

7.8 Outcome: Number of voting machines replaced on Election Day that are due to technician error (Total for FY) (Trend)

Objective 8

The program will enable absentee returns to be more accurately and quickly tabulated by providing support for parish boards of elections supervisors in tabulating votes through the preparation and distribution of test materials 10 days prior to Election Day for all parishes having an election.

Strategies:

8.1 Maintain a high level of accuracy in the programming of absentee ballot counting equipment.

8.2 Supervise delivery of and provide technical support of the absentee ballot counting equipment to parish registrars of voters' offices

8.3 Provide Election Day technical support to the chief election official of each parish where elections are held.

Indicators:

8.1 Efficiency: Percentage of parishes having an election for which test materials were prepared and distributed at least 10 days prior to the election (Key)

8.2 Input: Number of people voting by absentee ballot (Total for FY) (Trend)

Program C-Archives and Records Program (139_3000)

Statutory Authority

La. R.S. 13:1904; La. R.S. 25:127; R.S. 36:744; La. R.S. 43:22; La. R.S. 44:36; La. R.S. 44:39; La. R.S. 44:116; La. R.S. 44:401-427; and La. R.S. 44:501-503. LAC 4:XVII Chapters 1-15.

Program Mission

The mission of Louisiana State Archives is to collect, preserve, display, and make available those records essential to the reconstruction of Louisiana's colorful history and heritage. Its Records Program ensures the government and public continued access to essential information created by the state through a viable and responsive records management program and a comprehensive preservation effort, making the archival materials acquired and maintained by the program readily available for researchers and educational programs.

Program Objectives

Objective 1

Through FY 2028, the Administrative Services Program will provide leadership and management to the various programs of the department, and promote the facility and programs through marketing strategies.

Strategies:

- 1.1 Increase staff knowledge of administrative services through continuing education opportunities from webinars, workshops, and conferences.
- 1.2 Foster relationships with other archives, museums, institutions, and professional organizations to further the mission of the program.
- 1.3 Develop marketing materials to promote exhibits, events, and spaces available for public use at the facility.

Indicators:

- 1.1 Input: Number of event rentals (Key)
- 1.2 Input: Number of uses of the lobby, gallery, auditorium, and conference room (Key)
- 1.3 Input: Number of tour groups to the facility (Key)

Objective 2

Through FY 2028, the Records Services Program will provide for the economical and efficient administration of state and local government by aiding state and local government agencies in the development of their records management programs and by helping those agencies comply with La. R.S. Title 44.

Strategies:

- 2.1 Increase staff's knowledge of records management topics through continuing education opportunities from webinars, workshops, and conferences.
- 2.2 Upgrade Records Services' software to track agency compliance with La. R.S. Title 44 more efficiently.
- 2.3 Develop and disseminate educational programs and materials designed to help state and local government agencies develop a records management program and comply with La. R.S. Title 44.
- 2.4 Increase the number of state and local government agencies who are in compliance with La. R.S. Title 44.
- 2.5 Provide secure storage for the short-term, inactive records of state agencies.

Indicators:

- 2.1 Input: Number of state and local agencies (Key)
- 2.2 Output: Number of state and local government agencies' records officer designation forms received and processed (Key)
- 2.3 Input: Number of retention schedules submitted by state and local government agencies (Key)
- 2.4 Output: Number of retention schedules processed (Key)
- 2.5 Efficiency: Percentage of retention schedules received and processed within the fiscal year (Key)
- 2.6 Outcome: Number of state and local agencies with a retention schedule approved within the last five years (Key)
- 2.7 Outcome: Percentage of state and local agencies with a retention schedule approved within the last five years (Key)
- 2.8 Output: Number of state and local government agencies' disposal requests received and processed (Key)

2.9 Output: Number of records management presentations given to state and local government agencies (Supporting)

2.10 Output: Number of boxes received for storage in the State Records Center (1.2 cubic foot boxes) (Key)

2.11 Output: Number of boxes disposed of from the State Records Center (1.2 cubic foot boxes) (Key)

Objective 3

The Imaging and Preservation Services Program will act as the centralized document conversion center for the state by supporting agencies that lack document conversion systems of their own.

Strategies:

3.1 Increase staff's knowledge of imaging and preservation topics through continuing education opportunities from webinars, workshops, and conferences.

3.2 Upgrade Imaging and Preservation Services' software to manage and execute conversion projects more efficiently.

3.3 Process conversion projects efficiently and with a high level of quality.

Indicators:

3.1 Output: Number of paper documents converted to digital and / or microfilm format (Key)

3.2 Output: Number of microfilmed images converted to digital format (Key)

3.3 Output: Number of digital images converted to microfilm format (Key)

Objective 4

Through FY 2028, the Archives Services Program will provide for the identification, collection, processing, and preservation of the state's historic records.

Strategies:

4.1 Increase staff knowledge of best archival practices through continuing education opportunities from webinars, workshops, and conferences.

4.2 Process collections quickly and proficiently for preservation, security and access.

4.3 Preserve assets through digitization.

Indicators

4.1 Input: Number of accessions received from agencies via transmittals and from the public via acts of donation (Key)

4.2 Output: Number of accessions processed within 14 working days of receipt (Key)

4.3 Efficiency: Percentage of accessions received and processed within 14 working days of receipt during the fiscal year (Supporting)

4.4 Outcome: Number of accessions available online to the public (Supporting)

4.5 Input: Number of research requests for archival materials from the public and from other departments by telephone, email and mail received and processed (Key)

4.6 Output: Number of documents digitized for preservation and accessibility (Key)

4.7 Input: Number of audiovisual accessions received from agencies via transmittals and from the public via acts of donation (Key)

4.8 Output: Number of audiovisual accessions processed within 14 days working days of receipt (Supporting)

4.9 Efficiency: Percentage of audiovisual accessions received and processed within 14 working days of receipt during the fiscal year (Supporting)

4.10 Outcome: Number of audiovisual accessions available online to the public (Supporting)

4.11 Output: Number of audiovisual assets digitized for preservation and accessibility (Key)

4.12 Output: Number of assets entered into the audiovisual database for public accessibility (Key)

4.13 Input: Number of assets viewed by the public on the audiovisual database (Key)

4.14 Input: Number of research requests for film footage by telephone, email, and mail received and processed (Key)

4.15 Output: Number of requests for licensing film footage received and processed (Key)

Objective 5

Through FY 2028, the Reference Services Program will provide access to the permanent and genealogical collections by assisting patrons with research requests and requests for archival holdings.

Strategies

5.1 Increase staff knowledge of customer service and library services through continuing education opportunities from webinars, workshops and conferences.

5.2 Increase the number of reference materials for genealogical research.

5.3 Increase public access to vital records through scanning and microfilming.

Indicators

5.1 Input: Number of visitors to the Research Room (Key)

5.2 Input: Number of requests for archival holdings received (Key)

5.3 Output: Number of requests for archival holdings processed within 30 minutes (Supporting)

5.4 Efficiency: Percentage of requests for archival holdings received and processed within 30 minutes (Supporting)

5.5 Outcome: Number of requests for archival holdings received within the fiscal year (Supporting)

5.6 Input: Number of research requests by telephone, email, and mail received (Key)

5.7 Input: Number of vital records transferred annually from the Department of Health and Hospitals to the Louisiana State Archives (Key)

5.8 Output: Number of vital records processed within 1 year of receipt (Supporting)

5.9 Efficiency: Percentage of vital records received and processed within 1 year of receipt (Supporting)

5.10 Outcome: Number of new vital records available to the public within the fiscal year (Supporting)

5.11 Outcome: Number of vital records available online to the public (Supporting)

5.12 Output: Number of vital records transferred via microfilming or scanning (Key)

5.13 Input: Number of research request for vital records by telephone, email and mail received (Key)

Objective 6

Through FY 2028, the Conservation Services Program will treat documents in need of preservation work in the permanent collection, work with governmental agencies to ensure that records preservation and recovery aspects are considered in the event of disasters impacting governmental agencies, and research and produce exhibits at the Archives.

Strategies

6.1 Increase staff knowledge of conservation techniques, disaster recovery procedures, and exhibit design and promotion through continuing education opportunities from webinars, workshops, and conferences.

6.2 Monitor the Governor's Office of Homeland Security and Emergency Preparedness (GOHSEP) WEBEOC system and review situational reports to keep up to date on situations that could lead to records related disasters, and provide manpower for the Secretary of State desk at GOHSEP as needed during major disasters.

Indicators

6.1 Input: Number of damage and disaster reports received (Key)

6.2 Input: Number of visitors to the exhibit room (Key)

6.3 Output: Number of social media posts, press releases, and speaking engagements employed to promote current and upcoming exhibits and events (Key)

Program D – Museums and Other Programs (139_4000)

Statutory Authority

La. R.S. 25:371-380.6; 380.21-380.26, 380.51-380.57, 380.81-380.87, 380.91-380.97, and La. R.S. 36:744.

Program Mission

The mission of the Museums Program is to present exhibits, educational and other programs to the public to emphasize the political, social and economic influences, personalities, institutions, and events that have shaped the landscape of Louisiana's colorful history and culture and its place in the world. To further this mission the Museums Program will acquire, refurbish and preserve artifacts and other historic relics representative of this past and attract exhibits of interest to the communities they serve.

Program Objectives

Objective 1

The program will conduct itself in a cost effective manner by ensuring that the total cost per visitor for operating program museums remains below \$42.50 per visitor through FY 2028.

Strategies:

- 1.1 Work with the Louisiana Travel Promotion Association, the Department of Culture, Recreation and Tourism and regional tourism offices to promote the museums and their exhibits and educational programs available to the public.
- 1.2 Develop relationships with area tour companies and look for ways to partner with them to attract more visitors to the museums.
- 1.3 Develop educational programs with broader appeal for area educators to incorporate in their lesson plans.
- 1.4 Recruit and further develop a volunteer program to provide additional support for museum tours and events on museum grounds.
- 1.5 Promote the museum's exhibits and facilities with community professional and civic groups.
- 1.6 Develop marketing materials to promote new exhibits and features of the program's museums.
- 1.7 Develop museum collections for future exhibits and research.

Indicators:

- 1.1 Efficiency: Cost per visitor to operating program museums (Key)
- 1.2 Outcome: Number of visitors to operating program museums (Supporting)
- 1.3 Input: Total number of museums (Supporting)
- 1.4 Quality: Percentage of program museums in operation (Supporting)

Objective 2

The program will work to improve the quality of the management of the program's collection holdings by inspecting each museum annually.

Strategies:

- 2.1 Obtain and maintain American Association of Museums (AAM) accreditation for all program museums with total attendance over 25,000 visitors a year.
- 2.2 Review and complete inventories of collection holdings and input them into centralized program database.
- 2.3 Train museum personnel on how to conduct museum inspections to ensure appropriate environmental conditions are being maintained.
- 2.4 Perform annual inspection of museum facilities to ensure appropriate environmental conditions are being maintained.

Indicators:

- 2.1 Quality: Percentage of museums inspected annually (Key)
- 2.2 Efficiency: Percentage of museums with inventories included in Program's centralized database (Key)
- 2.3 Quality: Percentage of museums with annual attendance over 25,000 with AAM accreditation (Key)
- 2.4 Input: Number of museums with annual attendance over 25,000 (Supporting)

Program E - Commercial Program (139_5000)

Statutory Authority

La. R.S. 3:76-77, 84, , 216, 3652, 3654 and 3655; La. R.S. 9:3401-3410, 3421-28, 3431-3435, 3445 and 5164; La. R.S. 10:9-519- 9-526; La. R.S. 12: 31-35, 202-206, 236-237, 237.1, 238-239.1, 241, 243-244, 247, 249, 250, 250.1, 251, 257, 262, 262.1, 263-264, 301, 303-305, 308-314.1, 316, 318, 404, 406, 412-414, 418-419, 448-449, 469, 492, 499.2, 802, 902, 982, 1012, 1052, 1072, 1111, 1131, 1152, 1172, 1191-1192, 1304-1310, 1335.1, 1339, 1342, 1345-1353, 1355, 1359, 1360 and 1363-1364; R.S. 13: 3471-3472, 3474-3475, 3479-3482, 3485 and 5107; La. R.S. 14:325; La. R.S. 22:166-168, 177, 214, 332, 335, 340, 442, and 1907; La. R.S. 30:112; La. R.S. 36:741-746 (Powers & Duties of Secretary of State) [745 & 746] Uniform Code and geauxBIZ; La. R.S. 39: 1111 and 1118; La. R.S. 44:52-57, (Address Confidentiality Program); La. R.S. 45:200.8; La. R.S. 49:221.1 and 222-229; La. R.S. 51:213-219, 288, 294-295 and 1164; La. C.C.P. Art. 1262, 1267; and LAC 4:XIX. Chapter 1, Address Confidentiality Program.

Program Mission

The mission of the Commercial Program is to provide the business, financial and legal communities timely and efficient service in the certification and registration of documents relating to securing, retaining business entities and assets; the processing of legal services documents and communication of business licensing information as required by law and to make such information concerning these business entities available to the public; and ensuring the quality of the notary database.

Program Objectives

Objective 1

To maintain an efficient filing process the program will continue to maintain or reduce the document rejection rate annually so that it does not exceed 15% of total documents filed.

Strategies:

1.1 Continue to provide training and seminars on the proper guidelines required by law for acceptance of business document filing in Louisiana by the Secretary of State.

1.2 Provide and update instructions and forms for business document filings.

1.3 Review and update Frequently Asked Questions found on program's web pages.

Indicators:

1.1 Efficiency: Percentage of documents returned (Key)

1.2 Outcome: Number of filing documents rejected (Supporting)

1.3 Input: Number of filing documents received (Trend)

Objective 2

To continue to ensure that Uniform Commercial Code (UCC) document and farm product filing processing is reliable and efficient by maintaining at least a 98% data entry accuracy rate annually for UCC filings through FY 2028.

Strategies:

2.1 Conduct workshops with Clerks of Court, banks, and other major stakeholders to educate them about legal and administrative changes in the Louisiana UCC.

2.2 Review and revise administrative rules and forms to ensure clarity and comprehension for its intended audience.

2.3 Review and update frequently asked questions and instructive information on the UCC web pages.

Indicators:

2.1 Efficiency: Percentage accuracy in data entry of UCC and Farm Product filings (Key)

2.2 Input: Number of UCC and Farm Product filings (Supporting)

Objective 3

To continue to ensure that all service of process suits received are forwarded to the appropriate parties within 24 hours of being served to the Program through FY 2028.

Strategies:

3.1 Use of bar codes in processing certified mailings.

3.2 Continue use of cross training to ensure adequate personnel coverage during peak processing times.

3.3 Maintain good working relationship with the Louisiana Department of Insurance.

3.4 Review the program's processes and procedures to ensure compliance with existing laws and to possibly identify alternative processing methods that can ensure that the program meets its requirements.

Indicators:

3.1 Efficiency: Percentage of suits processed within 24 hours of receipt (Key)

3.2 Output: Number of suits processed (Supporting)

Objective 4

To ensure the quality of the data used to generate reports for geauxBIZ customers, the program will request updated regulatory requirements from regulatory entities in the State on an annual basis through FY 2028.

Strategies:

4.1 Develop system to monitor new entities with permitting requirements and add them to the Program's database.

4.2 Update fax and collect e-mail contact information for each permitting agency listed in database to provide a more cost-effective manner of sending out requests.

4.3 Continue to develop and maintain relationships with various statewide associations that oversee permitting functions (e.g. La. Municipal Association, La. Police Jury Association etc.)

Indicators:

4.1 Quality: Completed annual request for information (Key)

4.2 Outcome: Completed update of contact information in program database (Key)

4.3 Input: Number of regulatory agencies in program database (Supporting)

Objective 5

To ensure the preservation of Notary documents, the program will image at least 12,500 annual reports which were filed between 2004 and 2010 and were not previously imaged into the system.

Strategies:

5.1 Create digital images of original annual report documents.

5.2 Review images for clarity and completeness.

5.3 Index records appropriately to ensure accuracy and accessibility.

Indicators:

5.1 Quality: Percentage of imaging goal obtained (Key)

5.2 Output: Number of annual report documents imaged (Supporting)

Objective 6

To expand geauxBIZ by submitting additional filing types to existing agencies and by exploring potential partnerships with other state agencies to offer enhanced assistance to the business community.

Strategies:

6.1 Convert existing paper forms to online filings, ensuring that these filings adhere to statutory requirements.

6.2 Meet with potential partners (state agencies) to develop a plan to allow businesses to meet additional registration, license or permit requirements or to receive assistance from the State.

Indicators:

6.1 Efficiency: Number of additional filing types sent to existing agencies (subject to budget and staffing constraints of partnering agencies).

6.2 Quality: Biannual steering committee meetings (meetings with existing partners) to measure progress towards adding additional partners.